

# Arms Trade Treaty Voluntary Trust Fund

## FINAL REPORT

### Chile

National Directorate, National Customs Service of Chile

**Project No.:** ATT.VTF.G2019.009CHL

January 12, 2022

## Arms Trade Treaty Voluntary Trust Fund - Final Report

|   |  |
|---|--|
| Project Number  | ATT.VTF.G2019.009CHL   |
| Grantee name  | National Directorate, National Customs Service of Chile                                |
| Project title   | Course for interdicting Small Arms, ammunitions and their parts and components (ISAAP) |
| Grant Amount  | USD\$ 54'889   |
| Final Report submission date                              | 15.01.2022   |
| Period covered under this report<br>(MM/DD/YY – MM/DD/YY) | 14.10.2019 – 15.09.2021  |

### 1. Project activities and outcomes

#### a Describe the project outcomes.

Over the course of this project, three main activities were carried out, which correspond to two editions of the UNLIREC course on Interception of Weapons, Ammunition, Parts and Components (CIAMP) and one specialized Workshop on combatting illicit trafficking in firearms. These three trainings allowed the participating officials to strengthen knowledge, reinforce concepts, and acquire new methodologies for inspection. Therefore, it helped establish strong foundations for more comprehensive reviews of postal shipments, courier shipments and passenger luggage, as well as enhancing intelligence analysis aimed at the risk of arms, parts and components trafficking in these areas.

The first two activities delivered were the CIAMP courses, with the general objective of strengthening Chile's national capacities to combat and prevent the illicit trafficking of small arms, their ammunition, parts and components, and explosives. The CIAMPs focus on interdicting the illicit entry through postal shipments, packages, parcels, household goods and baggage. Contributing, in this way to reducing the unwanted effects of the proliferation of small arms and, consequently, to preventing armed violence and crime that affects the countries of the region.

This course was delivered by the UNLIREC team of experts, through virtual modality, using a modular instruction structure combining theoretical and practical methodologies and live sessions.

The first edition of CIAMP took place between October 26 and 30, 2020, from 10:00 a.m. to 1:00 p.m. through MS TEAMS and SharePoint platforms. Course materials included an Identification Guide for weapons, ammunition, parts and components, as well as the course manual which was given to each of the participants as reference materials. Following the theoretical and practical course components participants are required to 15 hours of training on the XR-Tutor platform (CASRA-UNLIREC) as well as a final assessment to complete the course and certification.

The October 2020 CIAMP was composed of 40 officials (13 women and 27 men) from different parts of the country participated: 30 officials from the National Customs Service, 7 officials from the

General Directorate of Civil Aviation (DGAC), and 3 officials from the General Directorate of National Mobilization (DGMN). While all 40 officials completed the course, 26 accomplished final certification. This is due to the fact that the final phases of the examinations are highly specialized whereby only experienced X-ray operators can access the final certification phase.

While the second CIAMP course was held between April 19 and 23, 2021, from 14:00 p.m. to 16:30 p.m. The number of hours and the requirements were the same as the first CIAMP. A total of 40 national officials (15 women and 25 men) participated and completed the CIAMP course: 32 officials from the National Customs Service, Customs throughout the country, and 8 officials from the Directorate General of Civil Aeronautics (DGAC). Of the total number of participants, 26 officials went on to successfully completed the certification process. As mentioned above, the final certification is reserved for experienced x-ray operators.

Finally, the third project activity consisted of the delivery of a "Workshop on the combat of illicit firearms trafficking", organized by the United Nations Regional Center for Peace, Disarmament and Development in Latin America and the Caribbean (UNLIREC). The general objective of this workshop was to strengthen the capacities of the National Customs Service of Chile to combat and prevent illicit firearms trafficking. In this workshop the context of the phenomenon of illicit firearms trafficking, the modalities used for illicit manufacturing of and trafficking in firearms, as well as the documentation related to international firearms transfers and the procedures for their review were presented.

The Workshop was held between August 18 and 20, 2021, from 09:00 a.m. to 11:00 a.m. In this event 92 officials (26 women, 65 men and 1 other gender) of the National Customs Service from all regions of the country registered, of which 85 officials participated in all sessions. The three-day workshop included sessions delivered in conjunction between UNLIREC and World Customs Organization.

b Describe how the project has assisted your implementation of the ATT.

As part of the ATT implementation process, Customs Services have a fundamental role to fulfill one of the main objectives of the Treaty "Prevent and eradicate the illicit trade in conventional arms and prevent their diversion" that is related to the exclusive Customs powers to carry out risk assessment, control, audits, interception of shipments and consignments.

The project has helped to advance the implementation of the Arms Trade Treaty. Specialized interdiction techniques were provided to front-line customs officials with responsibilities in the detection and identification of firearms, ammunition, their parts, and components in order to mitigate their diversion and thus achieve the objectives of the ATT, i.e., the project increased the technical capacity to improve the national detection and the performance of officials responsible for X-ray inspection goods.

The CIAMP course and the Workshop on illicit arms trafficking strengthened national capacities to combat and prevent the illicit trafficking of small arms, their ammunition, parts and components that could be concealed (smuggling), thus helping the country to be better prepared to face this threat, in particular considering that Chile is a transit country for illicit firearms trafficking from the

United States to Bolivia, Brazil, and Paraguay, by air and maritime transport.

c List all States that benefitted from the project.

The beneficiary State is CHILE.

The Services (Institutions) benefited from the CIAMP course were:

- ✓ National Customs Service (62 participants)
- ✓ General Directorate of National Mobilisation (3 participants)
- ✓ General Directorate of Civil Aeronautics (11 participants)
- ✓ The Ministry of Foreign Affairs participated as an observer (1 participant)
- ✓ Prosecutor's Office (2 participants).

The Workshop on illicit trafficking was attended by 92 Customs officials, 85 of whom participated throughout the three-day workshop.

d Were all the project activities as specified in the Project Schedule (see Annex G) completed?

Yes  No

If no, explain why and describe any problems, constraints and difficulties experienced in implementing the project.

Does not apply.

Project progress must be indicated against the Project Schedule (see Attachment 1). Please indicate the Status of all activities highlighted in YELLOW in the Project Schedule. Please also include comments where you think appropriate (e.g. to explain why certain activities have not been completed on time).

What recommendations would you make in this regard?

Does not apply

e How would you rate the *relevance* of the project (was the project suited to existing priorities and policies of the benefitting State(s))?

| Not relevant at all      | Not very relevant        | Moderately relevant      | Relevant                 | Very relevant                       |
|--------------------------|--------------------------|--------------------------|--------------------------|-------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |

Explain your answer:

At present, in Latin America as well as worldwide, firearms are used by criminal subjects or gangs for various illicit purposes. The demand for these weapons is met by those same individuals, criminal groups or by third parties through illicit trade that encompasses national and transnational markets.

This type of organized crime is undoubtedly a problem for all States since it increases the violence present in their respective territories and presents a permanent threat both for the police and/or for military forces that must combat armed crime, as well as for civilians. In that sense, nations should develop tools to prevent and combat illicit manufacturing of and trafficking in firearms, within their respective territories, opting for those that they consider most relevant to their own realities.

In this context, Chile has a national regulatory framework in place for the control of arms, ammunition, parts and pieces, mainly made up of Law No. 17.798 on Arms and Explosives Control, which seeks, on the one hand, to regulate everything related to permits, authorizations and registrations vis-à-vis the manufacture and licit trade, in addition to the carrying, and possession of these items, and, on the other hand, everything related to crimes with firearms and their elements.

The application and oversight of this national law is entrusted to the General Directorate of National Mobilization (DGMN), an agency, which forms part of the Ministry of National Defense, and therefore, in view of its functions, was invited to participate in the CIAMP course.

The National Customs Service is the body in charge of monitoring and controlling the passage of goods across the country's borders, without prejudice to the other functions entrusted to it by law, In the particular case of goods covered by Law No. 17,798 on the Control of Arms and Explosives, this entity ensures that they enter or leave the country with the relevant authorizations.

f How would you rate the *effectiveness* of the project (to what extent has the project attained its stated objectives)?

| Not effective at all | Not very effective | Moderately effective | Effective | Very effective |
|----------------------|--------------------|----------------------|-----------|----------------|
|                      |                    |                      |           |                |

|                          |                          |                          |                                     |                          |
|--------------------------|--------------------------|--------------------------|-------------------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|--------------------------|--------------------------|--------------------------|-------------------------------------|--------------------------|

Explain your answer:

The objectives of the project were fully achieved during the Course on Interception of Arms, Ammunition, Parts and Components (CIAMP) and the Workshop on illicit firearms trafficking in Chile.

- g How would you rate the *efficiency* of the project (to what extent were the project results attained on time and within budget)?

| Not efficient at all     | Not very efficient       | Moderately efficient     | Efficient                | Very efficient                      |
|--------------------------|--------------------------|--------------------------|--------------------------|-------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |

Explain your answer:

The efficiency of the Project has been optimal. At first, UNLIREC envisioned training 40 officials, however, due to the COVID19 pandemic, the Programme Unit was obliged to modify the dates and distribution of resources, as well as virtualize a number of its courses. This resulted in the availability of two versions of the CIAMP course and one Workshop. 80 officials, in addition to three officials invited as observers, were trained through the course; while a total of 92 officials participated in the Workshop.

- h Please describe the impact of the project (i.e. the positive or negative changes or effects that the project results have on the surrounding circumstances).

The impact of the project has been highly positive. Firstly, because a large number of officials were trained, not only from the National Customs Service, but also from two Chilean government agencies directly related to arms control, such as the General Directorate of General Mobilization and the General Directorate of Civil Aeronautics. Secondly, the trained officials strengthened their knowledge and techniques for the control of postal effects, packages, and passenger baggage through X-ray equipment, which will help mitigate the risk of illicit trafficking in arms, ammunition, parts and components through better control and also a better and more efficient risk analysis. This situation, in turn, allows for better detection not only internally, but also in export operations, so there is also a benefit at the regional level, since the possibility of detecting, such elements will increase with the knowledge and expertise acquired throughout the training.

Officials have established more effective practices for the identification of illicit shipments, the capacities of national authorities have been strengthened, and Chilean national authorities are convinced of the benefit and importance of specialized and continuous training to improve the detection of illicit trafficking in arms, parts, components and ammunition.

- i How would you rate the *sustainability* of the project (to what extent can the project benefits continue after the project has finished)?

|                    |          |            |             |                  |
|--------------------|----------|------------|-------------|------------------|
| Not sustainable at | Not very | Moderately | Sustainable | Very sustainable |
|--------------------|----------|------------|-------------|------------------|

|                          |                          |                          |          |                          |
|--------------------------|--------------------------|--------------------------|----------|--------------------------|
| all                      | sustainable              | sustainable              |          |                          |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <b>X</b> | <input type="checkbox"/> |

Explain your answer:

We consider that the Project is sustainable because it provides knowledge that is applicable to the daily work of the trained officers, and this knowledge is perfected through practice, so that they can -in turn- transfer the knowledge to other officers who work in the area of controlling goods and persons and with X-ray technology. Likewise, CIAMP-trained officers have access to an Identification Guide for Weapons, Ammunition, Parts and Components (printed on paper), which contains images and relevant information that it can be consulted by officials whenever they deem it necessary.

- j How will the Project outcomes be further used or applied in the future? Are there plans for the activities to be continued or for the experience gained to be shared?

The results of the Project have been applied immediately by the trained officials, since the risk profiles for import, export and transit operations related to the trafficking of goods within the scope of the ATT have been improved. The officers have had the opportunity to transfer the knowledge and techniques acquired to their peers, and inter-institutional coordination between national institutions with competence in the area of illicit trafficking has been strengthened.

Likewise, the communication channel developed through the Project between the National Customs Service and UNLIREC will allow for the coordination of future activities and the exchange of information in the fight against illicit trafficking in firearms, ammunition, their parts and components.

The National Customs Service is very interested in participating in other training activities related to the subject matter of the project in order to continue increasing the national capacity for detection and risk analysis.

## 2. Final expenditure report

Please complete the Worksheet titled 'input' in the VTF Expenditure Template – Final Report (Chile) in Attachment 2.

3. Certification

Please complete a separate certification for each consultant engaged to undertake the project activities that have been implemented.

For the purposes of this certification:

Grantee means National Customs of Chile

Consultant means United Nations Regional Centre for Peace, Disarmament and Development in Latin America and the Caribbean

I Ana Karina Ochoa Albornoz being a person duly authorised by the Grantee hereby certify that:

1. The Consultant was engaged by the Grantee on or after the date the Grant Agreement was executed to deliver services to the Project.
2. The service provided by the Consultant to the Grantee has been completed in accordance with the Grant Agreement.
3. The amount paid by the Grantee to the Consultant for the provision of services to help implement the Grant project to date is USD\$ 57'047
4. I have attached the tax invoice provided to the Grantee by the Consultant for the provision of services for the Grant project to date.
5. I have attached a receipt from the Consultant confirming that the amount referred to in 3 above has been paid in full by the Grantee.
6. All the information I have provided to the ATT Secretariat (including the contents of this declaration) is complete, true and correct.
7. I am aware of the Grantee's obligations under their Grant Agreement, including the need to keep the ATT Secretariat informed of any circumstances that may impact on the objectives, completion and/or outcomes of the agreed project.
8. I am aware that the Grant Agreement empowers the ATT Secretariat to terminate the Grant Agreement and to request repayment of funds paid to the Grantee where the Grantee is in breach of the Grant Agreement.

Signed ..... Date 12.01.2022

Deputy Director of Customs Enforcement (S)



Please submit this report and signed declaration to ATT Secretariat along with;

- A copy of the tax invoice issued to you by the Consultant for the provision of services
- Proof the Consultant was paid. This could be a receipt issued to you by the Consultant or a bank statement.





## ATT Final Expenditure Report



|                         |  |
|-------------------------|--|
| Name of Grant Recipient | Chile  |
| Department or Agency    | Nationale Directorate - National Customs Service |
| Posting Date            |  |

|                     |                       |
|---------------------|-----------------------|
| Project No.         | ATT.VTF.G2019.009CHL  |
| Reporting period    | 14.10.2019-15.10.2021 |
| Local Currency Code |                       |
| Grant Received USD  | 51,047.00             |

<https://www.oanda.com/currency/converter/>

Click above first cell for exchange rate site

| Accounting Details |                           | General Details |                                       |   | Total budget | Actual spend to date** |        |          | Balance of budget available | Balance of funds received |
|--------------------|---------------------------|-----------------|---------------------------------------|---|--------------|------------------------|--------|----------|-----------------------------|---------------------------|
| Project code       | Budget Line               | Ref. N°         | Description 1                         | Description 2 - Receipt or Invoice No. - Date*  | USD          | Local Amount           | Rate   | USD      | USD                         | USD                       |
|                    | Activity 1 - Personnel    | 1               | UNLIREC Experts (preparation)         | Salary for Mr. Jimmy Arroyo   | 2,000.00     | 2,000.00               | 1.0000 | 2,000.00 | -                           | 49,047.00                 |
|                    |                           | 2               | UNLIREC Experts (mission)             | Salary for Mr. Jimmy Arroyo   | 1,000.00     | 1,000.00               | 1.0000 | 1,000.00 | -                           | 48,047.00                 |
|                    | Activity 1 - Travel Costs | 3               | Air tickets                           | AMEX Global Business Travel: 1 Airticket Lima - Santiago - Lima, economic class for Jimmy Arroyo from 8.03.20 - 11.03.20: \$285.64.<br>Cost for lugagge (not included in the air ticket cost): \$119.90 | 395.64       | 427.14                 | 1.0000 | 427.14   | 31.50                       | 47,619.86                 |
|                    |                           | 4               | DSAs (3 days)                         | Jimmy Arroyo: 3 Daily Subsistance Allowance in Santiago de Chile (\$331 per day x 3 days = \$993 ) from 8 to 11 March 2020.   | 993.00       | 993.00                 | 1.0000 | 993.00   | -                           | 46,626.86                 |
|                    |                           | 5               | terminal expenses                     | Jimmy Arroyo: 1 terminal expenses in Santiago de Chile from 8 to 11 March 2020.   | 188.00       | 188.00                 | 1.0000 | 188.00   | -                           | 46,438.86                 |
|                    | Activity 2- Personnel     | 6               | UNLIREC Experts (preparation)         | Salary for Mr. Jimmy Arroyo and Walter Murcia   | 8,000.00     | 6,464.00               | 1.0000 | 6,464.00 | 1,536.00                    | 39,974.86                 |
|                    |                           | 7               | UNLIREC Experts (mission)             | Salary for Mr. Jimmy Arroyo and Walter Murcia   | 10,000.00    | 8,802.26               | 1.0000 | 8,802.26 | 1,197.74                    | 31,172.60                 |
|                    | Equipment costs           | 8               | CASRA Platform                        | To cover CAT evaluation for two courses   | 5,400.00     | 2,214.38               | 2.0000 | 4,428.77 | 971.23                      | 26,743.83                 |
|                    | Operational Costs         | 9               | Materials, printing and miscellaneous | To cover printing material for two CIAMP courses  | 5,200.00     | 2,707.15               | 2.0000 | 5,414.29 | 214.29                      | 21,329.54                 |
|                    | Activity 3 - Personnel    | 10              | UNLIREC Experts (preparation)         | To cover salary for Ana Mucci   | 6,000.00     | 6,000.00               | 1.0000 | 6,000.00 | -                           | 15,329.54                 |

### Geographical coverage / Cobertura geográfica


Antigua and Barbuda . Argentina . Bahamas . Barbados . Belize . Bolivia . Brazil . Chile . Colombia . Costa Rica . Cuba . Dominican Republic . Dominica . Ecuador . El Salvador . Grenada . Guatemala  
Guyana . Haiti . Honduras . Jamaica . Mexico . Nicaragua . Panama . Paraguay . Peru . Saint Lucia . Saint Vicent and the Grenadines . St.Kitts and Nevis . Suriname . Trinidad and Tobago . Uruguay . Venezuela

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|  |                   |    |                                  |  |                  |                  |        |                  |                 |           |
|--|-------------------|----|----------------------------------|--|------------------|------------------|--------|------------------|-----------------|-----------|
|  |                   | 11 | UNLIREC Experts (course)         | Consultant fee for Ruben Arancibia, Frank Grosspietsch and Ana Mucci                 | 4,000.00         | 3,515.76         | 1.0000 | 3,515.76         | 484.24          | 11,813.78 |
|  | Operational Costs | 12 | Printing material                |  | 2,600.00         |                  |        | -                | 2,600.00        | 11,813.78 |
|  |                   | 13 | Simultaneous Interpretation      | To cover interpreter fee English to Spanish - Mare Gordillo and Maria Monica Alvarez | 2,800.00         | 2,860.92         | 1.0000 | 2,860.92         | 60.92           | 8,952.86  |
|  |                   | 14 | Simultaneous Interpretation      | To cover interpreter fee English to Spanish - Mare Gordillo and Maria Monica Alvarez | 2,721.36         | 1,605.76         | 1.0000 | 1,605.76         | 1,115.60        | 7,347.10  |
|  |                   | 15 | Total Indirect Support Cost (7%) |  | 3,590.86         | 2,714.49         |        | 3,058.99         | 531.87          | 4,288.11  |
|  |                   |    |                                  |  | <b>54,888.86</b> | <b>41,492.86</b> |        | <b>46,758.89</b> | <b>8,129.97</b> |           |

Signature:

  
Maria Teresa Dávila, Executive Associate - UNLIREC

Date: 20 December 2021

  
HEAD: ATT SECRETARIAT

09/02/2022

**RECONCILIATION**  
**CHILE - ATT.VTF.G2019.009CHL**  
**2019**

| Description                              | Total budget     | Actual spend<br>(Interim Report) | Actual spend<br>(Final Report) | Actual spend<br>(Total) | Balance of budget<br>available | Balance of<br>funds received | % of over- or<br>under-spend |
|--|------------------|----------------------------------|--------------------------------|-------------------------|--------------------------------|------------------------------|------------------------------|
|  | USD              | USD                              | USD                            | USD                     | USD                            | USD                          |                              |
| <b>Personnel costs</b>                   |                  |                                  |                                |                         |                                |                              |                              |
| UNLIREC Experts (preparation) Activity 1 | 2.000,00         | 2.000,00                         | -                              | 2.000,00                | -                              | 4.047,00                     | 100%                         |
| UNLIREC Experts (mission) Activity 1     | 1.000,00         | 1.000,00                         | -                              | 1.000,00                | -                              | 4.047,00                     | 100%                         |
| UNLIREC Experts (preparation) Activity 2 | 8.000,00         | -                                | 6.464,00                       | 6.464,00                | 1.536,00                       | 4.1583,00                    | 81%                          |
| UNLIREC Experts (mission) Activity 2     | 10.000,00        | -                                | 8.802,26                       | 8.802,26                | 1.197,74                       | 3.1780,74                    | 88%                          |
| UNLIREC Experts (preparation) Activity 3 | 6.000,00         | -                                | 6.000,00                       | 6.000,00                | -                              | 2.1780,74                    | 100%                         |
| UNLIREC Experts (course) Activity 3      | 4.000,00         | -                                | 3.515,76                       | 3.515,76                | 484,24                         | 2.1264,98                    | 88%                          |
| <b>Travel costs</b>                      |                  |                                  |                                |                         |                                |                              |                              |
| Air tickets                              | 395,64           | 405,54                           | 21,60                          | 427,14                  | 31,50                          | 2.1837,84                    | 108%                         |
| DSAs (3 days)                            | 993,00           | 993,00                           | -                              | 993,00                  | -                              | 2.1844,84                    | 100%                         |
| terminal expenses                        | 188,00           | 188,00                           | -                              | 188,00                  | -                              | 2.1656,84                    | 100%                         |
| <b>Equipment costs</b>                   |                  |                                  |                                |                         |                                |                              |                              |
| CASRA platform                           | 5.400,00         | -                                | 4.428,77                       | 4.428,77                | 971,23                         | 1.1228,07                    | 82%                          |
| <b>Operating Costs</b>                   |                  |                                  |                                |                         |                                |                              |                              |
| Materials, printing and miscellaneous    | 5.200,00         | -                                | 5.414,29                       | 5.414,29                | 214,29                         | 1.1813,78                    | 104%                         |
| Printing material                        | 2.600,00         | -                                | -                              | -                       | 2.600,00                       | 1.1813,78                    | 0%                           |
| Simultaneous Interpretation              | 2.800,00         | -                                | 2.860,92                       | 2.860,92                | 60,92                          | 1.1952,86                    | 102%                         |
| Simultaneous Interpretation              | 2.721,36         | -                                | 1.605,76                       | 1.605,76                | 1.115,60                       | 1.1347,10                    | 59%                          |
| <b>Total Direct Costs</b>                | <b>51.298,00</b> | <b>4.586,54</b>                  | <b>39.113,36</b>               | <b>43.699,90</b>        | <b>7.598,10</b>                | <b>1.1347,10</b>             |                              |
| <b>Total Indirect Support Costs - 7%</b> | <b>3.590,86</b>  | <b>321,06</b>                    | <b>2.737,94</b>                | <b>3.058,99</b>         |                                |                              |                              |
| <b>Total</b>                             | <b>54.888,86</b> | <b>4.907,60</b>                  | <b>41.851,30</b>               | <b>46.758,89</b>        |                                |                              |                              |

|                           |           |
|---------------------------|-----------|
| Amount of 1st installment | 51.047,00 |
| Amount of 2nd installment | -         |
| Accrued interest          | 39,00     |
| Balance owing to VTF      | 4.327,11  |



*[Handwritten Signature]*  
**ANA KARINA OCHOA ALBORNOZ**  
**SUBDIRECTORA DE FISCALIZACIÓN (S)**